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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/700,326	11/03/2003	Sanford D. Damasco	ENDO108-C1-CP2CP	5138	
	7590 01/29/2007 I GINSBERG		EXAMINER		
ENDOCARL, INC.				ARIN, IMAN K	
201 TECHNOLOGY DRIVE IRVINE, CA 92618			ART UNIT	PAPER NUMBER	
			. 3709		
SHORTENED STATUTORY	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE		
3 MON	NTHS	01/29/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

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	Application No.	Applicant(s)				
	10/700,326	DAMASCO ET AL.				
Office Action Summary	Examiner	Art Unit				
	I Kenneth Kholdebarin	3709				
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the	correspondence address				
• •	VIO OET TO EVOIDE AMONTH	(C) OD TUUDTY (20) DA	V0			
A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING I - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION .136(a). In no event, however, may a reply be the distribution of the company and will expire SIX (6) MONTHS from the course the application to become ABANDONE	N. mely filed the mailing date of this communic ED (35 U.S.C. § 133).				
Status			-			
1) Responsive to communication(s) filed on						
·— · · · · · · · · · · · · · · · · · ·	is action is non-final.					
·—						
closed in accordance with the practice under						
Disposition of Claims						
4)⊠ Claim(s) <u>1-35</u> is/are pending in the application	n.					
4a) Of the above claim(s) is/are withdra	awn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-35</u> is/are rejected.	•					
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/	or election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examin	er.					
10) The drawing(s) filed on is/are: a) ac		Examiner.				
Applicant may not request that any objection to the	e drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the corre-	ction is required if the drawing(s) is ob	ejected to. See 37 CFR 1.12	21(d).			
11)☐ The oath or declaration is objected to by the E	Examiner. Note the attached Office	Action or form PTO-152	2.			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreig	n priority under 35 U.S.C. § 119(a)-(d) or (f).				
a) ☐ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documer						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the price	•	ed in this National Stage	,			
application from the International Burea	, , , , , , , , , , , , , , , , , , , ,					
* See the attached detailed Office action for a lis	t of the certified copies not receive	ed.				
· ·						
Attachment(s)	_					
1) Notice of References Cited (PTO-892)	4) Interview Summary					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	Paper No(s)/Mail Da 5) Notice of Informal F					
Paper No(s)/Mail Date <u>04/05/2004</u> .	6) Other:					

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DEATAILED ACTION

Claim Objection

- 1. Claim 20 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the ablation cycle of claim 19 not the treatment region of patient.
- 2. Claim 21 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the steps of starting a freeze cycle for said first posterior lateral cryoprobe and said second posterior lateral cryoprobe of claim 19 rather than the treatment guidance plan.
- 3. Claims 22 23 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the ablation cycle of claim 17 rather than the treatment region of patient.

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4. Claims 26-32 and 35 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the method of computer guided ablation of tissue of a patient cited in claim 25 rather than the system of claim 20 cited by the applicant.

- 5. Claim 33 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the method of treatment guidance plan of claim 31 rather than the method of ablative device with radio frequency.
- 6. Claim 34 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claim is further limiting the method of ablation cycle rather than the method of ablative device with at least one laser fiber.
- 7. Claim 30 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 29. When two claims in an application are duplicates or else are so close in content that they both

cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP § 706.03(k).

Appropriate correction is required.

Claim Rejections - 35 USC § 112

8. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

9. Claims 17, 19-23, 26-29 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Re Claims 19, 22 and 23: Claims 19, 22 and 23 are recites the limitation "said ablation cycle" in line 1. There is insufficient antecedent basis for this limitation in the claim.

Re Claim 20: Claim 20 is recites the limitation "said step of starting a freeze cycle for said first anterior cryoprobe and said second anterior cryoprobe" in line 1-2. There is insufficient antecedent basis for this limitation in the claim.

Re Claim 21: Claim 21 is recites the limitation "said step of starting a freeze cycle for said first posterior cryoprobe and said second posterior cryoprobe" in line 1-2. There is insufficient antecedent basis for this limitation in the claim.

Re Claims 26-29: Claims 26-29 is recites the limitation "step of operating at least one ablative device" in line 1. There is insufficient antecedent basis for this limitation in the claim.

Claims 31 is recites the limitation "step of receiving imaging output data" in line 1. There is insufficient antecedent basis for this limitation in the claim.

Re Claim 32: Claim 32 is recites the limitation "step of providing a treatment guidance" in line

1. There is insufficient antecedent basis for this limitation in the claim.

Re Claims 17 and 19-23: These claims comprise the apparatus and method together in the body of the claims. These are hybrid claims and should be clarified as to whether they are drawn to the apparatus or the method.

Appropriate correction is required.

Obviousness-Type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed.

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Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

10. Claims 1-3 and 7-35 are rejected under the judicially created doctrine of obviousness -type double patenting as being unpatentable over claims 1-29 of Damasco, (U.S. 6,643,535).

Although the conflicting claims are not identical, they are not patentably distinct from each other because the present claimed invention is somewhat broader recitation of the '535 patent, for example, in claim 1 of present claimed invention and claim 1 of '535 Patent, the Applicants claim:

- "A system for providing computer guided ablation of tissue of a patient, comprising:
- a. an imaging device for receiving imaging data from a treatment region of a patient, processing said imaging data and providing imaging output data and imaging signals, said imaging output data being available to an operator;
- b. an ablative surgical computer system, comprising:
- i) a guidance module for processing said imaging signals and providing a treatment guidance plan to the operator; and,
- ii) a treatment module for acquiring and processing surgical device output data, for optimally

controlling treatment parameters and providing feedback information to the operator based on said treatment guidance plan; and,

- c. a set of surgical devices, said set of surgical devices providing said surgical device output data, comprising:
- i) at least one ablative device for providing ablation of said treatment region based on said treatment parameters and operator input; and,
- ii) at least one temperature sensing device <u>integrally attached to said at least one ablative device</u> for acquiring temperature data from said treatment region and providing a temperature sensing device output signal, said temperature sensing device output signal being a portion of said surgical device output data wherein said treatment guidance plan is utilized for placing said at least one ablative device and said at least one temperature sensing device into said treatment region."

In reference patent '535 stated:

- "A system for providing computer guided ablation of tissue of a patient, comprising:
- a. an imaging device for receiving imaging data from a treatment region of a patient, processing said imaging data and providing imaging output data and imaging signals, said imaging output data being available to an operator;
- b. an ablative surgical computer system, comprising:
- i) a guidance module for processing said imaging signals and providing a treatment guidance plan to the operator; and,
- ii) a treatment module for acquiring and processing surgical device output data, for optimally controlling treatment parameters and providing feedback information to the operator based on

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said treatment guidance plan; and,

c. a set of surgical devices, said set of surgical devices providing said surgical device output data, comprising:

- i) at least one ablative device for providing ablation of said treatment region based on said treatment parameters and operator input; and,
- ii) at least one temperature sensing device for acquiring temperature data from said treatment region and providing a temperature sensing device output signal, said temperature sensing device output signal being a portion of said surgical device output data wherein said treatment guidance plan is utilized for placing said at least one ablative device and said at least one temperature sensing device into said treatment region", (See Col.8 line 14-43).

Therefore, in respect to above discussions, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have a temperature sensing device as an element of a set of surgical device, and further being integrally attached within the set of surgical device with the ablative (Cryoprobe) device, to determine the temperature at the region where the ablative device will be used intra-opertive as a cooling system and claimed by the present application. The instant claims obviously encompass the claimed invention of '535 Patent and differ only in terminology. To the extent that the instant claies are broaden and therefore generic to the claimed invention of '535 Patent, In re Goodman 29 USPQ 2d 2010 CAFC 1993, states that a generic claim cannot be issued without a terminal disclaimer, if a species claim has been previously been claimed in a co-pending application.

Re Claim 2 and 3: With respect to "thermocouple being extendible from a distal portion of said

cannula to project outwardly from said cannula", it would have been obvious to one of ordinary skill in the art at the time the invention was made to have the thermocouple being attached to ablative device and extendible from the distal portion of cannula at a desired distance, in order to monitor the temperature on surrounding tissue to prevent damaging of dropped temperature / freezing by cryoprobes or ablative device (38).

11. Claims 4-6 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1 of Damasco (U.S. Patent No. 6,643,535) in view of Zupkas (US 5,672,172).

Re Claims 4-6: However, Damasco fails to disclose or fairly suggest the ablative device with a fluid supple line with about 90 degrees angle.

Zupkas teaches at least one integrated ablative device with a fluid supply line connectable at an inlet section (15) to a source of cryogenic fluid; and a fluid connector assembly securely connected to an outlet section (16) of said fluid supply line for receiving fluid from said outlet section of said fluid supply line, said fluid connector defining a main connector assembly axis; and, a detachable cryosurgical probe (11) / which is obvious to one ordinary skill in the art for the repeated use in the surgery to be detachably connectable to said fluid connector assembly, and said cryosurgical probe (11) for receiving fluid from said fluid connector assembly and manipulating said fluid to provide suitable temperatures for cryosurgical treatment, (See Col.7, L 29-49) said detachable cryosurgical probe defining a probe axis, said main connector assembly axis and said probe axis being at a relative angle of about 90 degrees to each other. Zukaps

teaches in Fig. 8 the relative angle of about 90 degrees of the probe and the connector fluid tubes and temperature-sensing device being integrally attached to the detachable cryosurgical probe. Therefore, in view of Zukaps, it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace fluid connector claimed by Damasco at the angle of 90 degrees with the fluid connector assembly comprising inlet (15) and outlet section (16) of said fluid supply line for receiving fluid from said outlet section of said fluid supply line at a relative angle of about 90 degrees in order to assist the surgeons and physicians with safer and more effective tools for treatment the patients with the ablative device into the body of a host for and accessing the treatment region and manipulating the tissues of the host.

Allowable Subject Matter

12. Claims 1-35 would be allowable over the prior art of record by timely filing terminal disclaimer.

Conclusion

13. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The prior art made of record and not relied upon is considered pertinent to applicants disclosure. Dowlatshahi discloses apparatus for interstitial laser therapy having an improved temperature sensor for tissue being treated; Edwards discloses self-contained power sypply and monitoring station for RF tissue ablation; Dees discloses treatment of pigmented tissues using optical energy; Gough discloses

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multiple antenna ablation apparatus and method; Motamedi discloses apparatus for emitting therapeutic energy within tissue.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to I Kenneth Kholdebarin whose telephone number is 571-270-

1347. The examiner can normally be reached on M-F, from 8:00 am to 4 pm.

13. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

Jong-Suk (James) Lee can be reached on 571-272-7044. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application

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IKK

1/16/2007

JONG SUK LEE SUPERVISORY PATENT EXAMINER